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## BIB DATA SHEET

CONFIRMATION NO. 3617

<b>SERIAL NUMBER</b> 10/627,646	<b>FILING or 371(c) DATE</b> 07/28/2003 <b>RULE</b>	<b>CLASS</b> 327	<b>GROUP ART UNIT</b> 3609	<b>ATTORNEY DOCKET NO.</b> 10392-460042
<b>APPLICANTS</b> Steven M.H. Wallman, Great Falls, VA; <i>HF.</i>				
<b>** CONTINUING DATA *****</b> This application is a DIV of 09/139,020 08/24/1998 PAT 6,601,044 which is a CIP of 09/038,158 03/11/1998 PAT 6,996,539 <i>Priority, Granted.</i>				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED **</b> 11/11/2003				
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /HAO FU/ Acknowledged Examiner's Signature	<input type="checkbox"/> Met after Allowance Initials	<b>STATE OR COUNTRY</b> VA	<b>SHEETS DRAWINGS</b> 16	<b>TOTAL CLAIMS</b> 5
<b>INDEPENDENT CLAIMS</b> 5				
<b>ADDRESS</b> Bradley J. Meier KENYON & KENYON Suite 700 1500 K Street, N.W. Washington, DC 20005 UNITED STATES				
<b>TITLE</b> Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis				
<b>FILING FEE RECEIVED</b> 918	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	